

Form 210A (10/06)



## United States Bankruptcy Court

Southern District Of New York

In re Lehman Brothers Holdings Inc., et al., Debtors,

Case No. 08-13555 (JMP)

### TRANSFER OF CLAIM OTHER THAN FOR SECURITY

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a). Transferee hereby gives evidence, attached hereto, and notice pursuant to Rule 3001(e)(2), Fed. R. Bankr. P., of the transfer, other than for security, of the claim referenced in this evidence and notice.

Bank J. Safra Sarasin (Gibraltar) Ltd

Name of Transferee

Name and Address where notices to transferee should be sent:

Attn Roy Clinton  
Bank J. Safra Sarasin (Gibraltar) Ltd  
Suite 971, Europort, PO Box 542  
Gibraltar

Phone: +350 2000 2500

Last Four Digits of Acct #: N/A

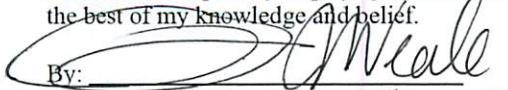
Name and Address where transferee payments should be sent (if different from above):

As above

Phone: \_\_\_\_\_

Last Four Digits of Acct #: \_\_\_\_\_

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

By: 

Transferee/Transferee's  
RM Clinton  
CEO

RK Neale  
CFO

*Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 & 3571.*

Date: 9 AUGUST 2013

Please note that Bank J. Safra (Gibraltar) Ltd. has changed its name. It operates under the new name: Bank J. Safra Sarasin (Gibraltar) Ltd



**J. SAFRA SARASIN**



Sustainable Private Banking since 1841

**EVIDENCE OF TRANSFER OF CLAIM**

**TO: THE DEBTOR AND THE BANKRUPTCY COURT**

For value received, the adequacy and sufficiency of which are hereby acknowledged, **Clariden Leu AG** ("Transferor") unconditionally and irrevocably transferred to **Bank J. Safra Sarasin (Gibraltar) Ltd.** ("Transferee") all of its right, title, interest, claims and causes of action in and to or arising under or in connection with the portion of its claim (**Claim No. 55813**) relating to the securities with International Securities Identification Numbers listed on Schedule I hereto against Lehman Brothers Holdings, Inc. (the "**Debtor**"), Chapter 11 Case No.: 08-13555 (JMP) (Jointly Administered), United States Bankruptcy Court for the Southern District of New York (the "**Bankruptcy Court**").

Transferor hereby waives any objection to the transfer of the claim to Transferee on the books and records of the Debtor and the Bankruptcy Court and hereby waives to the fullest extent permitted by law any notice or right to a hearing as may be imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, the Bankruptcy Code, applicable local bankruptcy rules or applicable law. Transferor acknowledges and understands, and hereby stipulates, that an order of the Bankruptcy Court may be entered without further notice to Transferor regarding the transfer of the foregoing claim and recognizing the Transferee as the sole owner and holder of the claim. Transferor further directs the Debtor, the Bankruptcy Court and all other interested parties that all further notices relating to the claim, and all payments or distributions of money or property in respect of claim, shall be delivered or made to the Transferee.

IN WITNESS WHEREOF, this EVIDENCE OF TRANSFER OF CLAIM IS  
EXECUTED ON AUGUST 09, 2013.

Clariden Leu AG

By: \_\_\_\_\_  
Name: Adrian Graf  
Title: AVP

By: \_\_\_\_\_  
Name: Rita von Wyl  
Title: AVP

**SCHEDULE I**

**Lehman Programs Securities Related to Transferred Portion of Claim:**

<b>ISIN</b>	<b>Court Claim #</b>	<b>Date Claim Filed</b>	<b>Issuer</b>	<b>Number of Units or Currency and Nominal Amount</b>
XS0354397571	55813	October 29, 2009	Lehman Brothers Treasury Co. BV	USD 250'000.00

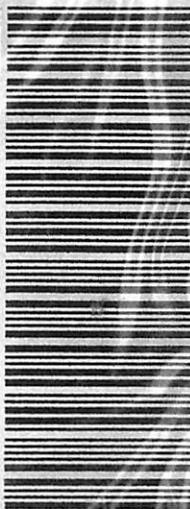
**Epiq Bankruptcy Solutions LLC**  
**Attn: Lehman Brothers Holdings Claims Processing**  
**757 Third Avenue, 3<sup>rd</sup> Floor**  
**New York, New York 10017**  
**USA**

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**J. SAFRA SARASIN**

Sustainable Private Banking since 1841

DESTINATION	Products & Services																															
ORIGIN	<input checked="" type="checkbox"/> Domestic <input type="checkbox"/> International <input type="checkbox"/> Express - Next Day Available <input type="checkbox"/> Product 100% Made in USA <input type="checkbox"/> EXPRESS - 2400 <input type="checkbox"/> EXPRESS - 10-20 <input type="checkbox"/> EXPRESS - 24-48 <input type="checkbox"/> EXPRESS - 48-72 <input type="checkbox"/> EXPRESS - 72-96																															
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<b>10</b> <b>EXRESS 10011 NEW YORK, NY</b> <b>11</b> <b>U113 US -</b> <b>12</b> <b>Account No. 1855</b> <b>13</b> <b>Ref code:</b> 																																
<b>14</b> <b>Billed weight is calculated from total weight and dimensions</b> <table border="1"> <thead> <tr> <th></th> <th colspan="3">Dimensions in cm</th> <th></th> </tr> <tr> <th>Pieces</th> <th>Length</th> <th>Width</th> <th>Height</th> <th></th> </tr> </thead> <tbody> <tr> <td>1</td> <td>120</td> <td>100</td> <td>100</td> <td>x</td> </tr> <tr> <td>2</td> <td>120</td> <td>100</td> <td>100</td> <td>x</td> </tr> <tr> <td>3</td> <td>120</td> <td>100</td> <td>100</td> <td>x</td> </tr> <tr> <td>4</td> <td>120</td> <td>100</td> <td>100</td> <td>x</td> </tr> </tbody> </table>				Dimensions in cm				Pieces	Length	Width	Height		1	120	100	100	x	2	120	100	100	x	3	120	100	100	x	4	120	100	100	x
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<b>15</b> <b>Shipment details</b> <table border="1"> <thead> <tr> <th>Total number of packages</th> <th>Total Weight</th> </tr> </thead> <tbody> <tr> <td>1</td> <td>5 kg</td> </tr> </tbody> </table>			Total number of packages	Total Weight	1	5 kg																										
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1	5 kg																															
<b>16</b> <b>Full description of contents</b> Give content and quantity																																

Track this shipment: <a href="http://www.dhl.com">http://www.dhl.com</a>	
<b>Shipment Waybill</b> <small>(Not negotiable)</small>	
<b>1 Payer account number and insurance details</b>	
<input checked="" type="checkbox"/> Charge to <input type="checkbox"/> Shipper <input type="checkbox"/> Receiver <input type="checkbox"/> 3rd party	
Payer Account No. _____	
<b>Shipment Insurance</b> <small>See reverse</small>	
<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
<b>2 From (Shipper)</b>	
Shipper's account number _____	
Shipper's reference (up to 32 characters) <small>first 12 will be shown on invoice</small> _____	
Company name <b>Bank J. Safra Sarasin (Liechtenstein) Ltd</b>	
Address <b>Switzerland</b> <b>6761 Mauren</b>	
Postcode/Zip Code (required) <input type="text" value="350 200 49601"/>	
Phone, Fax or E-mail (required)	
<input type="checkbox"/> Cash <input type="checkbox"/> Cheque <input type="checkbox"/> Credit Card	
<small>Not all payment options are available in all countries. CC viewer: <a href="http://www.dhl.com">www.dhl.com</a></small>	

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5 of 5

ZYP - TSS

27145 Date: Pcs Shpt Weight Piece

1/1

0.50 KG

Content description

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FILED / RECEIVED

AUG 13 2013

JJD01 3060 7187 3003 5645 EPIQ SYSTEMS

PLEASE PLACE